GAU 2755 RS

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I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on the date below:

10/13/99 feeleard C. Queltutor

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Uresh K. Vahalia & Percy Tzelnic

Serial No.: 09/261,621

Filed: March 3, 1999

For: File Server System Providing Direct Data Sharing Between Clients With A Server

Acting As An Arbiter and Coordinator

RECEIVED

Group Art Unit: 2755 0CT 2 2 1999

Examiner: Unknown Gro

Group 2700

Atty. Dkt. No.: EMCR:039/AUC

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be

an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Assistant Commissioner is hereby authorized to deduct said fees from Arnold White & Durkee Deposit Account No. 01-2508/Order No. EMCR:039.

Applicant respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted,

Richard C. Auchterlonie

Reg. No. 30,607

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